



Financial Statement - Auditor's Report Form 4

Municipal Elections Act, 1996 (Section 78)

Instructions:

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses beyond the nomination fee must complete Boxes C, D, Schedule 1, and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or his or her spouse) shall be paid immediately over to the clerk who was responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination) 2014 07 24 to 2014 12 14

- Primary filing reflecting finances to December 31 (or 45th day after voting day in a by-election)
Supplementary filing including finances after December 31 (or 45th day after voting day in a by-election)

Box A: Name of Candidate and Office

Candidate's name as shown on the ballot
Last Name: Monteleone, Given Name(s): Claudio
Name of office for which the candidate sought election: City of Thunder Bay Councillor, Ward name or no. (if any): Current River #100
Name of Municipality: City of Thunder Bay
Spending limit issued by clerk: \$ 14,472.40

I did not accept any contributions or incur any expenses other than the nomination fee. (Complete Box A and B only)

Box B: Declaration

I, Claudia Monteleone, a candidate in the municipality of Thunder Bay, hereby declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.

Declared before (clerk or commissioner) in the City of Thunder Bay on (yyyy/mm/dd) 2014-12-18
Signature of Clerk or Commissioner: Linda Crago
Date Filed in the Clerk's Office (yyyy/mm/dd): Jan 5, 2015

Signature of Candidate: Claudia Monteleone

LINDA CRAGO
A COMMISSIONER, IN THE CITY OF THUNDER BAY, ONTARIO UNDER DELEGATED AUTHORITY OF THE CITY CLERK OF THUNDER BAY IN ACCORDANCE WITH SECTION 228 OF THE MUNICIPAL ACT.

Box C: Statement of Campaign Income and Expenses

LOAN

Name of bank or recognized lending institution _____
Amount borrowed \$ _____

INCOME

Total amount of all contributions (From line 1A in Schedule 1) + \$ 4,691.59
Refund of nomination filing fee + \$ _____
Sign deposit refund + \$ _____
Revenue from fund-raising events not deemed a contribution (From Part III of Schedule 2) + \$ _____
Interest earned by campaign bank account + \$ _____
Other (provide full details) _____
1. _____ + \$ _____
2. _____ + \$ _____
3. _____ + \$ _____
Total Campaign Income (Do not include loan) = \$ 4,691.59 C1

EXPENSES (Note: include the value of contributions of goods and services)

Expenses subject to spending limit

Nomination filing fee + \$ 100.00
Inventory from previous campaign used in this campaign (list details in Table 5 of Schedule 1) + \$ _____
Advertising + \$ 2,948.20
Brochures/flyers + \$ 322.05
Signs (including sign deposit) + \$ 830.68
Meetings hosted + \$ _____
Office expenses incurred until voting day + \$ _____
Phone and/or Internet expenses incurred until voting day + \$ _____
Salaries, benefits, honoraria, professional fees incurred until voting day + \$ _____
Bank charges incurred until voting day + \$ _____
Interest charged on loan until voting day + \$ _____
Other (provide full details) _____
1. T-shirts for Campaign + \$ 67.80
2. Repair to Windshield from Fallen Sign + \$ 271.19
3. Word Map, Clothes for Campaign, Facebook + \$ 121.71 + 29.96
Total Expenses subject to spending limit = \$ 4,691.59 C2

Expenses not subject to spending limit

Accounting and audit + \$ _____
Cost of fund-raising events/activities (list details in Part IV of Schedule 2) + \$ _____
Voting day party/appreciation notices + \$ _____
Office expenses incurred after voting day + \$ _____
Phone and/or Internet expenses incurred after voting day + \$ _____
Salaries, benefits, honoraria, professional fees incurred after voting day + \$ _____
Bank charges incurred after voting day + \$ _____
Interest charged on loan after voting day + \$ _____
Expenses related to recount + \$ _____
Expenses related to controverted election + \$ _____
Expenses related to compliance audit + \$ _____
Expenses related to candidate's disability (provide full details) _____
1. _____ + \$ _____
2. _____ + \$ _____
3. _____ + \$ _____
Other (provide full details) _____
1. _____ + \$ _____
2. _____ + \$ _____
3. _____ + \$ _____
Total Expenses not subject to spending limit = \$ _____ C3

Total Campaign Expenses (C2 + C3) = \$ 4,691.59 C4

Box D: Calculation of Surplus or Deficit

Excess (deficiency) of income over expenses (Income – Total Expenses)
(C1 – C4)

+ \$ 0 D1

Eligible deficit carried forward by the candidate from the last election

- \$ _____ D2

Total (D1 – D2)

= \$ 0

If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign

- \$ _____

Surplus (or deficit) for the campaign

= \$ 0 D3

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who was responsible for the conduct of the election.

Amount of \$ 0 paid to municipal clerk in the municipality of Thunder Bay

Schedule 1 - Contributions

Part I - Summary of Contributions

Contribution from candidate (include the value of inventory listed in Table 5)	+ \$ <u>3,991.59</u>
Contribution from spouse	+ \$ _____
Total value of contributions not exceeding \$100 per contributor	
• Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).	+ \$ _____
Total value of contributions exceeding \$100 per contributor (from line 1B; list details in Tables 1 - 4)	
• Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).	+ \$ <u>700.00</u>
Less: Contributions returned or payable to the contributor	- \$ _____
Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$10	- \$ _____
Total Amount of Contributions (Record in Box C)	= \$ <u>4,691.59</u> 1A

Part II - List of Contributions from Each Single Contributor Totalling more than \$100

Table 1: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Amount \$
Bruce Hyer M.P.	176 Hildale Road Thunder Bay, Ontario	\$ 500.00
<input type="checkbox"/> Additional information is listed on separate supplementary attachment		Total \$ <u>500.00</u>

Table 2: Monetary contributions from corporations or unions

Name (Legal and Carrying on Business As)	Full Address	President or Business Manager	Authorized Representative	Amount \$
Peterson Electric Co. Ltd	142 Cumberland St. North			\$200.00
<input type="checkbox"/> Additional information is listed on separate supplementary attachment				Total \$200.00

Table 3: Contributions in goods or services from individuals other than candidate or spouse
 (Note: must also be recorded as expenses in Box C)

Name	Full Address	Description of Goods or Services	Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment			Total

Schedule 2 – Fundraising Events and Activities

Fundraising Event/Activity

Complete a separate schedule for each event or activity held

Additional schedule(s) attached

Description of fundraising event/activity _____

Date of event/activity (yyyy/mm/dd) _____

Part I – Ticket Revenue

Admission charge (per person)

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

Number of tickets sold

Total Ticket Revenue (2A X 2B) (Include in Schedule 1)

+	\$	_____	2A	
X		_____	2B	
				= \$ _____

Part II – Other revenue deemed a contribution

(provide details (e.g. revenue from goods sold in excess of fair market value))

1.	_____	+	\$	_____
2.	_____	+	\$	_____
3.	_____	+	\$	_____
4.	_____	+	\$	_____
5.	_____	+	\$	_____

Total Part II Revenue (include in Schedule 1)

= \$ _____

Part III – Other revenue not deemed a contribution

(provide details (e.g. contributions of \$10 or less; market value of goods or services sold))

1.	_____	+	\$	_____
2.	_____	+	\$	_____
3.	_____	+	\$	_____
4.	_____	+	\$	_____
5.	_____	+	\$	_____

Total Part III Revenue (include in Box C)

= \$ _____

Part IV – Expenses related to fundraising event or activity (provide details)

1.	_____	+	\$	_____
2.	_____	+	\$	_____
3.	_____	+	\$	_____
4.	_____	+	\$	_____
5.	_____	+	\$	_____
6.	_____	+	\$	_____
7.	_____	+	\$	_____
8.	_____	+	\$	_____

Total Part IV Expenses (include in Box C)

= \$ _____

Auditor's Report*Municipal Elections Act, 1996 (Section 78)*

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

Municipality

Date (yyyy/mm/dd)

Contact Information

Last Name

First Name

Licence Number

Address

Suite/Unit No.

Street No.

Street Name

City/Town

Province

Postal Code

Telephone No. (including area code)
ext.

Fax No.

Email Address

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

 Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 78 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.

Claudio Monteleone Election Campaign 2014
Candidate for City of Thunder Bay Council - Current River
Campaign Statement of Expenses and Incomes
As of December 14, 2014

Income

Notes:

Donations:	\$ 500.00			
	<u>\$ 200.00</u>	\$ 700.00		Bruce Hyer 09-Sep-14
Personal Contributions:	\$ 3,376.50			Peterson Electric Co. Ltd. 26-Oct-14
	\$ 615.09	<u>\$ 3,991.59</u>		Deposit Into Bank Account
Total Income for Campaign:			\$ 4,691.59	Paid for Cash Purchases

Expenses

Paid from Bank Account:

Invoice#BAC-SUM2014-23	\$ 1,130.00			Newspaper Advertising
Invoice#TCT-SUM2014-02	\$ 635.63			Newspaper Advertising
Invoice#TBRP-SUM2014-16	\$ 282.50			Print Advertising
Invoice#PW-2014-42	\$ 67.80			T-shirts
Invoice#PW-2014-44	\$ 322.05			Brochures
Invoice#PW-2014-44	\$ 437.30			Signs
Dents Away Inc.	\$ 271.19			Broken Auto Windshield (Fallen Sign)
Facebook	\$ 29.96			Facebook Advertising
Invoice#2014-46	\$ 222.07			Print Advertising (Flyers)
Invoice#CRT-FAI2014-05	<u>\$ 678.00</u>	\$ 4,076.50		Newspaper Advertising

Paid in Cash from Personal Contributions:

Walmart	8-Jun-14	\$ 111.71		Cloths for Campaign
City Clerk Office	24-Jul-14	\$ 100.00		Candidate Registration
Home Depot	26-Jul-14	\$ 94.21		Sign Supplies
Home Depot	31-Jul-14	\$ 6.02		Sign Supplies
Home Depot	8-Aug-14	\$ 14.74		Sign Supplies
Restore	22-Aug-14	\$ 20.00		Sign Supplies
Richelieu	22-Aug-14	\$ 39.47		Sign Supplies
Restore	27-Aug-14	\$ 20.00		Sign Supplies
Restore	2-Sep-14	\$ 30.00		Sign Supplies
City of Thunder Bay	3-Sep-14	\$ 10.00		Ward Map
Restore	6-Sep-14	\$ 10.00		Sign Supplies
Restore	26-Sep-14	\$ 5.00		Sign Supplies
LockStone	1-Oct-14	\$ 4.52		Sign Supplies
Restore	14-Oct-14	\$ 5.00		Sign Supplies
Home Depot	1-Oct-04	\$ 9.84		Sign Supplies
Restore	7-Oct-14	\$ 15.00		Sign Supplies
LockStone	9-Oct-14	\$ 35.16		Sign Supplies
Canadian Tire	26-Oct-14	\$ 15.23		Sign Supplies
Helium Highs	26-Oct-14	\$ 33.90		Sign Supplies
Dollarama	26-Oct-14	\$ 8.19		Sign Supplies
Canadian Tire	27-Oct-14	<u>\$ 27.10</u>	\$ 615.09	Sign Supplies

Total Expenses For Campaign: \$ 4,691.59

Funds Remaining After Campaign \$0.00

CHEQUING ONE - 4867.C10	
Balance	.00

Activity for CHEQUING ONE - 4867.C10 as of Nov 04 2014

Date	Description	Withdrawals	Deposits	Balance
Aug 20 2014	BALANCE FORWARD			\$0.00
Aug 22 2014	CASH DEPOSIT		\$1,800.00	\$1,800.00
✓ Aug 22 2014	TRANSFER INV#BAC-SUM2014-23	\$1,130.00		\$670.00
✓ Aug 22 2014	TRANSFER INV#TCT-SUM2014-02	\$635.63		\$34.37
Sep 02 2014	CASH DEPOSIT		\$660.00	\$694.37
✓ Sep 02 2014	WITH NO CHRG TBRPSUM2014-16	\$282.50		\$411.87
✓ Sep 02 2014	WITH NO CHRG PW-2014-42	\$67.80		\$344.07
✓ Sep 02 2014	WITH NO CHRG PW-2014-44	\$322.05		\$22.02
✓ Sep 09 2014	DEPOSIT BRUCE HYER DONATION		\$500.00	\$522.02
✓ Sep 09 2014	TRANSFER (DR) PW-2014-44	\$437.30		\$84.72
✓ Sep 11 2014	INT TRSF (DR) TO 4790 C02 GARYS AUTO	\$13.75		\$70.97
✓ Sep 15 2014	INT TRSF (DR) TO 4790 C02 GARYS AUTO	\$70.97		\$0.00
Oct 01 2014	CASH DEPOSIT		\$210.00	\$210.00
Oct 02 2014	CASH DEPOSIT		\$6.50	\$216.50
✓ Oct 02 2014	TRANSFER (DR) GARYS AUTO	\$186.47		\$30.03
✓ Oct 02 2014	TRANSFER (DR) FACEBOOK ADDS	\$29.96		\$0.07
Oct 07 2014	CASH DEPOSIT		\$900.00	\$900.07
✓ Oct 07 2014	TRANSFER (DR)	\$222.07		\$678.00
✓ Oct 07 2014	TRANSFER (DR)	\$678.00		\$0.00